



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Angelone et al.

Appl. No.: 09/905,772

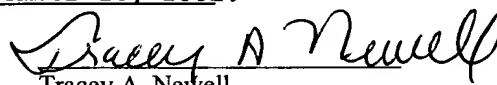
Filed: July 13, 2001

For: METHOD AND APPARATUS FOR  
PERFORMING ASSESSMENTS

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:  
: Art Unit: To be assigned  
:  
: Examiner: To be assigned  
:  
: Atty Docket: GFM-00201

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as first class mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to: Commissioner of Patents, Washington, DC 20231 on November 28, 2001.

  
Tracey A. Newell

CONSENT OF ASSIGNEE UNDER 37 C.F.R. 1.48(c) (5)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. 1.48(c)(5), the Assignee, **Guaranty Fund Management Services**, of the entire right, title and interest of the above-referenced patent application, consents to add the following as named inventors in addition to the two currently named inventors, **Linda Angelone** and **Kathleen Lavin**:

**Paul Gulko**

**Karen Anderson**

**Denise Amigo**

**James Winskowicz.**

**RECEIVED**  
JAN 18 2002  
Technology Center 2160

An Assignment was executed on July 11, 2001 and previously submitted for recordation with the United States Patent and Trademark Office (USPTO) on July 13, 2001 upon filing of the above-referenced patent application. Applicants have enclosed a copy of the Notice of Assignment Recordation as evidence of a chain of title of assignment of the above-referenced patent application to the Assignee.

For ASSIGNEE:

Signature: Paul M. Gulko Date: 11/8/2001  
Name: Paul M. Gulko  
Title: President



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Angelone et al.

Appl. No.: 09/905,772

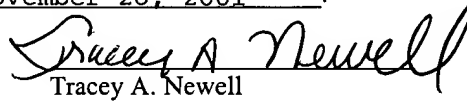
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Tracey A. Newell

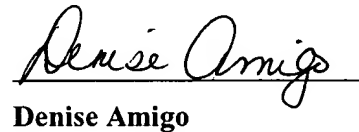
**STATEMENT FROM ADDITIONAL INVENTORS UNDER 37 C.F.R. 1.48(c)(2)**

Assistant Commissioner for Patents  
Washington, DC 20231

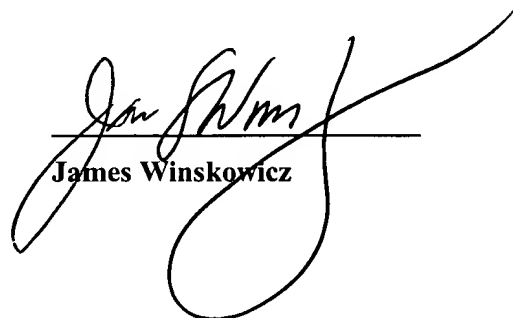
Sir:

In accordance with 37 C.F.R. 1.48(c)(2), we, the undersigned, are being added as inventors to the above-referenced patent application as necessitated by the additional claims included in the Preliminary Amendment also being submitted herewith. The inventorship error occurred without deceptive intention on the part of the undersigned.

  
Paul Gulko

  
Denise Amigo

  
Karen Anderson

  
James Winskowicz

RECEIVED  
JAN 18 2002  
Technology Center 2160



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 26, 2001

PTAS



\*101789466A\*

HUTCHINS, WHEELER & DITTMAR  
ANNE E. SATURNELLI  
101 FEDERAL STREET  
BOSTON, MA 02110

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/13/2001

REEL/FRAME: 011997/0439  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
ANGELONE, LINDA

DOC DATE: 07/11/2001

ASSIGNOR:  
LAVIN, KATHLEEN

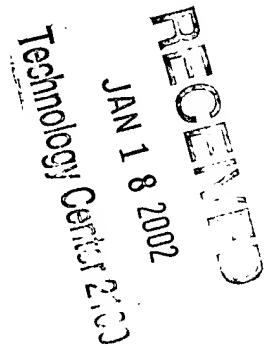
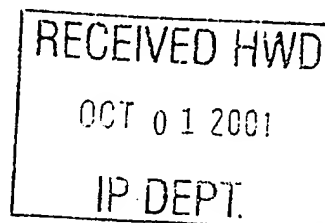
DOC DATE: 07/11/2001

ASSIGNEE:  
GUARANTY FUND MANAGEMENT SERVICES,  
INC.  
ONE BOWDOIN SQUARE  
BOSTON, MASSACHUSETTS 02114-2916

SERIAL NUMBER: 09905772  
PATENT NUMBER:

FILING DATE: 07/13/2001  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



Form PTO-1595 (Rev. 5/39) OMB No. 0651-0011 (exp. 4/94)		<div style="font-size: 1.5em; font-weight: bold; transform: rotate(-5deg); display: inline-block;">07-26-2001</div>  <div style="font-size: 1.5em; font-weight: bold; transform: rotate(-5deg); display: inline-block;">101789466</div>		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office Docket No.: GFM-00201	
1. Name of conveying party(ies): Linda Angelone Kathleen Lavin Additional name(s) of conveying party(ies) attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  Nature of conveyance:  (X) Assignment                      ( ) Merger ( ) Security Agreement            ( ) Change of Name ( ) Other: Execution Date: <u>July 11, 2001</u>		2. Name and address of receiving party(ies) Name: <u>Guaranty Fund Management Services, Inc.</u> Internal Address: _____  Street Address: <u>One Bowdoin Square</u> City: <u>Boston</u> State: <u>MA</u> Zip: <u>02114-2916</u>  Additional name(s) & address(es) attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			
4. Application number(s) or patent number(s): <u>09/905772</u> If this document is being filed together with a new application, the execution date of the applications is: <u>July 11, 2001</u>					
A. Patent Application No.(s)			B. Patent No.(s)		
Additional numbers attached? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>					
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: <u>Patent Group</u> Internal Address: <u>Hutchins, Wheeler &amp; Dittmar</u> Street Address: <u>101 Federal Street</u> City: <u>Boston</u> State: <u>MA</u> ZIP: <u>02110</u>			6. Total number of applications and patents involved: <u>1</u>		
07/19/2001 VTRUONG1 00000054 09905772 03 FC:581                      40.00 OP			7. Total fee (37 CFR 3.41).....\$ <u>\$40.00</u> X Enclosed _____ Authorized to be charged to deposit account		
			8. Deposit account number:  (Attached duplicate copy of this page if paying by deposit account)		
<b>DO NOT USE THIS SPACE</b>					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>					
<u>Anne E. Saturnelli; 41.290</u> Name of Person Signing		 Signature		<u>July 13, 2001</u> Date	
Total number of pages including cover sheet, attachments, and document: <u>3</u>					
Mail documents to be recorded with required covered sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231					

Docket Number: GFM-00201

ASSIGNMENT

WHEREAS, Linda Angelone of Everett, Massachusetts and Kathleen Lavin of Norwood, Massachusetts; have developed certain inventions described in a patent application entitled:

**METHOD AND APPARATUS FOR PERFORMING ASSESSMENTS**

as executed on even date herewith, and have full right to convey the entire right, title and interest, both legal and equitable, in and to said inventions, free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, Guaranty Fund Management Services, Inc. ("Assignee"), having offices in Boston, Massachusetts is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the undersigned, the undersigned do hereby sell, assign, transfer and set over unto said Assignee, its successors and assigns, the entire right, title and interest throughout the world in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in the U.S. or any foreign country based thereon, including the right to file said applications and claim priority under the provisions of any U.S. or international convention or treaty; also the entire right, title and interest throughout the world in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Assignee or its successors and assigns.

The undersigned further agree, without any further payment or compensation by said Assignee or its successors and assigns, to communicate to said Assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said Assignee, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signatures.

7/11/01  
Date

Linda Angelone  
Linda Angelone

State of Massachusetts )  
County of )

On this 11<sup>TH</sup> day of JULY, 2001 before me personally appeared Linda Angelone, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Paul M. Gulko  
Notary Public

My commission expires

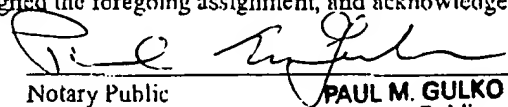
**PAUL M. GULKO**  
Notary Public

Commonwealth of Massachusetts  
Commission Expires Oct. 5, 2001

Docket No.: GFM-00201

7-11-01  
Date  
Kathleen LavinState of Massachusetts )  
County of )

On this 11<sup>TH</sup> day of JULY, 2001 before me personally appeared Kathleen Lavin, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

  
Notary Public

My commission expires

PAUL M. GULKO

Notary Public

Commonwealth of Massachusetts  
Commission Expires Oct. 5, 2001